

A decorative graphic of several overlapping, light green leaf-like shapes on the left side of the page.

# Knowledge Base of Open Access Policies for Academic Books in the ERA

Maciej Maryl & Gabriela Manista  
Institute of Literary Research of the Polish Academy of Sciences

A large, stylized graphic of a human figure with arms raised, rendered in a light green color. The figure has a circular head and a triangular body.

Funded by the  
European Union

Funded by the European Union. Views and opinions expressed are however those of the author(s) only and do not necessarily reflect those of the European Union or the European Research Executive Agency. Neither the European Union nor the granting authority can be held responsible for them.

Creative Commons Attribution 4.0  
International (CC BY 4.0)



# Steps towards the Knowledge Base

1. To identify, contextualise and collect data and documents relevant to OA policies regarding OA books in ERA: reports, policies, survey results, statistics.
2. To collect input on challenges and best practices regarding OA books from various stakeholders from ERA.
3. To process, tag, structure, and make those materials available in the project repository for further analysis and publication.



Funded by the  
European Union

Funded by the European Union. Views and opinions expressed are however those of the author(s) only and do not necessarily reflect those of the European Union or the European Research Executive Agency. Neither the European Union nor the granting authority can be held responsible for them.

Creative Commons Attribution 4.0  
International (CC BY 4.0)





# Data collection process

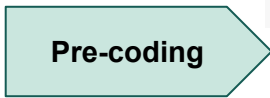
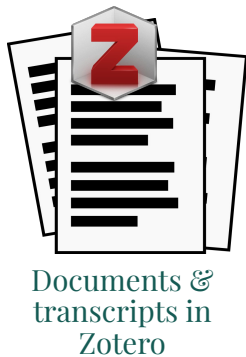
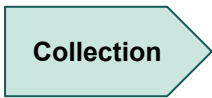
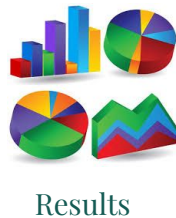
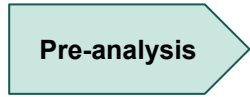
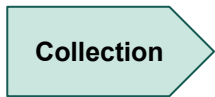


Funded by the  
European Union

Funded by the European Union. Views and opinions expressed are however those of the author(s) only and do not necessarily reflect those of the European Union or the European Research Executive Agency. Neither the European Union nor the granting authority can be held responsible for them.

Creative Commons Attribution 4.0  
International (CC BY 4.0)





Funded by the European Union

Funded by the European Union. Views and opinions expressed are however those of the author(s) only and do not necessarily reflect those of the European Union or the European Research Executive Agency. Neither the European Union nor the granting authority can be held responsible for them.

Creative Commons Attribution 4.0 International (CC BY 4.0)





# What was collected?



Funded by the  
European Union

Funded by the European Union. Views and opinions expressed are however those of the author(s) only and do not necessarily reflect those of the European Union or the European Research Executive Agency. Neither the European Union nor the granting authority can be held responsible for them.

Creative Commons Attribution 4.0  
International (CC BY 4.0)



## BIBLIOGRAPHICAL DATA

- **Targeted survey** to national libraries and aggregators to learn about library data, including statistics and metadata on open access books:
  - **16 responses**
  - **14 data sources**
  - **~4 million items**
- **Main outcome:** scattered data space in need of harmonisation and standardisation

**National Libraries:** Austria, Belgium, Czech Republic, Denmark, Estonia, Finland, Germany, Iceland, Latvia, Poland, Romania, Slovenia, Catalonia (Spain), Sweden, Netherlands, Scotland

Data source	No. of items
OpenAPC	1,685
BASE	507,509
DOAB	69,358
OAPEN	31,000
OpenAlex	450,360
OpenAIRE	1,102,747
Scielo	1,175
The Lens	433,574
WorldCat	1,185,835
Web of Science	26,032
Scopus	42,403
Dimensions	556,057
JSTOR	10,087
EBSCO	10,802



Funded by the  
European Union

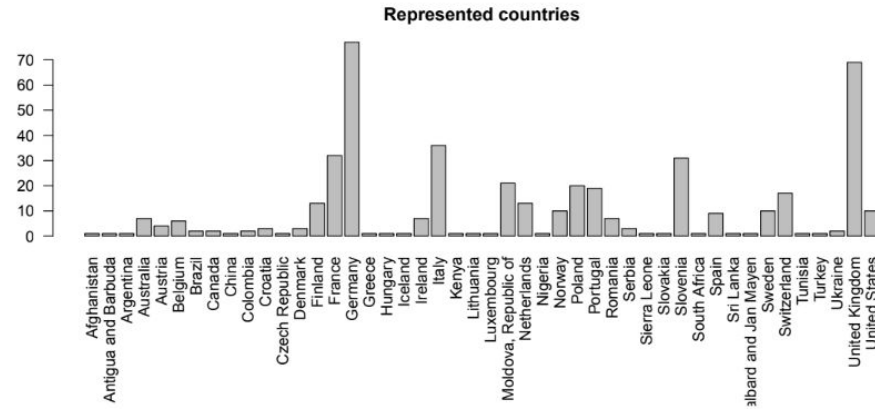
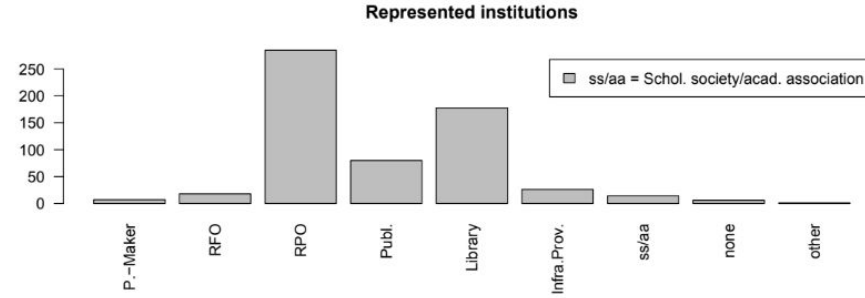
Funded by the European Union. Views and opinions expressed are however those of the author(s) only and do not necessarily reflect those of the European Union or the European Research Executive Agency. Neither the European Union nor the granting authority can be held responsible for them.

Creative Commons Attribution 4.0  
International (CC BY 4.0)



# ERA-WIDE SURVEY

- **Goal:** ERA-wide survey on OA monographs policies
- The survey was available from August to October 2023
- Result: **454 complete responses**



Funded by the European Union

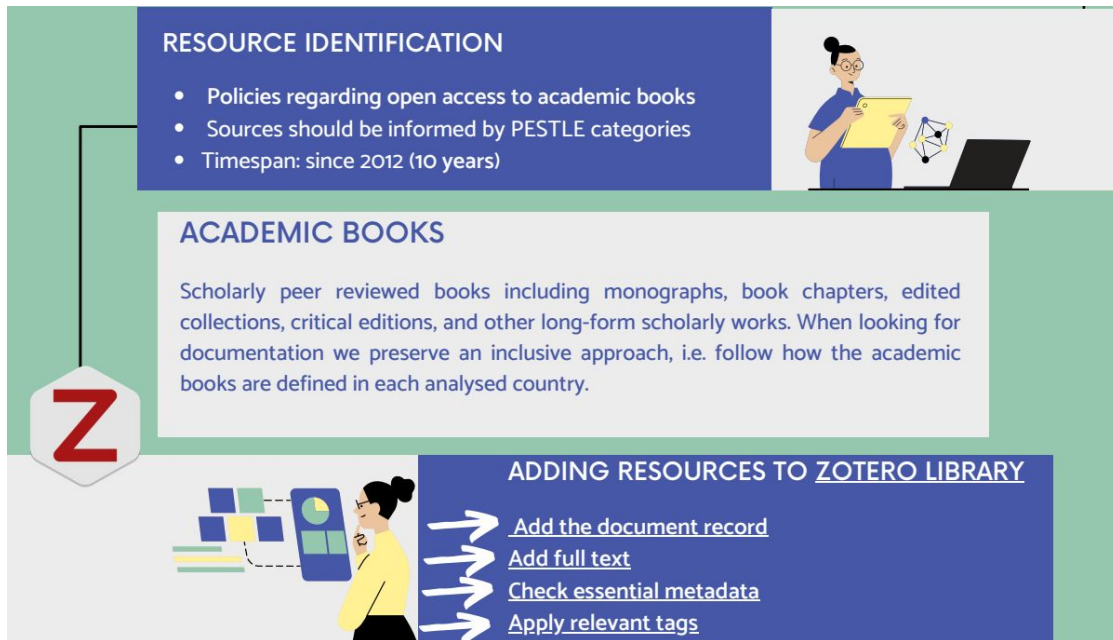
Funded by the European Union. Views and opinions expressed are however those of the author(s) only and do not necessarily reflect those of the European Union or the European Research Executive Agency. Neither the European Union nor the granting authority can be held responsible for them.

Creative Commons Attribution 4.0 International (CC BY 4.0)



## DOCUMENTS COLLECTION: Phase I - Identification

- **Detailed protocol** guiding researchers
- **Local experts** consulted at the onset
- **Types of documents:** policies, strategies, data, recommendations, plans, articles on OA to academic books



Funded by the  
European Union

Funded by the European Union. Views and opinions expressed are however those of the author(s) only and do not necessarily reflect those of the European Union or the European Research Executive Agency. Neither the European Union nor the granting authority can be held responsible for them.

Creative Commons Attribution 4.0  
International (CC BY 4.0)



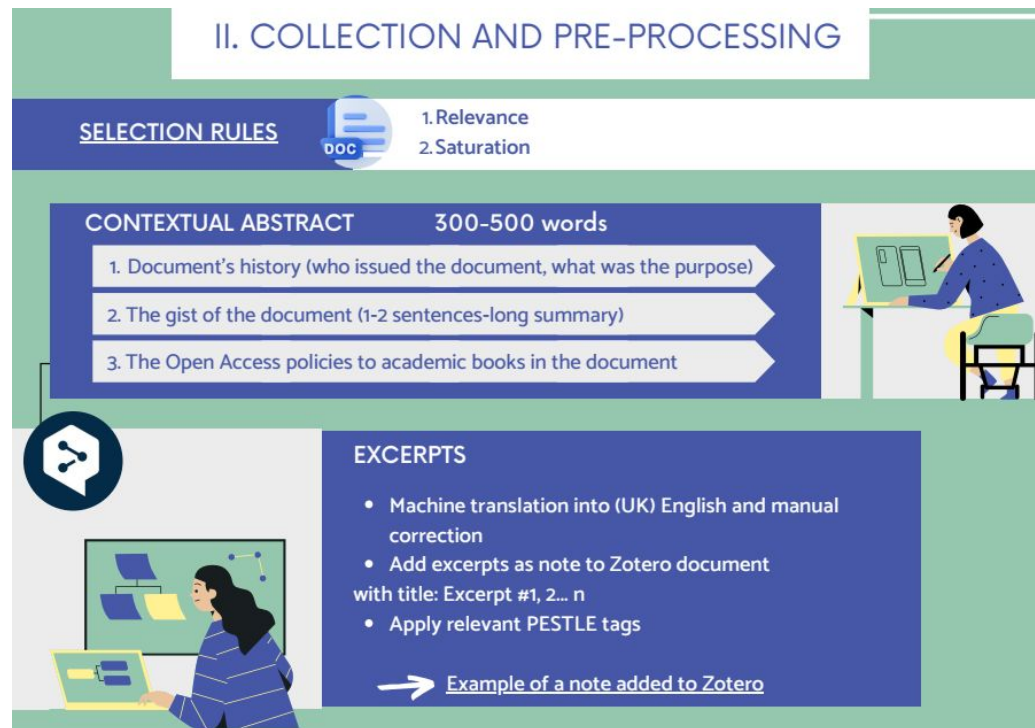


## DOCUMENTS COLLECTION: Phase II - Collection and pre-processing

- Selecting relevant documents
- Preparing abstracts and excerpts
- Applying relevant tags

### Outcome

- 905 documents
- 462 policies
- 1552 excerpts
- 39 countries and 29 languages



Funded by the  
European Union

Funded by the European Union. Views and opinions expressed are however those of the author(s) only and do not necessarily reflect those of the European Union or the European Research Executive Agency. Neither the European Union nor the granting authority can be held responsible for them.

Creative Commons Attribution 4.0  
International (CC BY 4.0)



# INTERVIEWS

**Goal:** uncover the HOW of the OA to academic monographs in different ERA countries

- 39 individual and three group interviews were conducted
- All interviews lasted around 60 minutes

## Processing:

- Transcription with HappyScribe
- Translation into English with DeepL (if needed)
- Anonymised transcript, validated by interviewees, to be published in the KB

## Gender breakdown

- Female – 24
- Male – 23

## Stakeholder breakdown

- RPO – 19
- RFO – 10
- Library – 11
- Publisher – 8
- Policy maker – 5

## Geographic

- 34 countries – one per country
- 3 countries – 2 per country (Germany, Netherlands, Belgium)
- 1 country – 3 interviewees (Slovakia)
- 3 countries – no interview (Albania, N. Macedonia, Montenegro)



Creative Commons Attribution 4.0 International (CC BY 4.0)



Funded by the  
European Union

Funded by the European Union. Views and opinions expressed are however those of the author(s) only and do not necessarily reflect those of the European Union or the European Research Executive Agency. Neither the European Union nor the granting authority can be held responsible for them.



# Knowledge Base



Funded by the  
European Union

Funded by the European Union. Views and opinions expressed are however those of the author(s) only and do not necessarily reflect those of the European Union or the European Research Executive Agency. Neither the European Union nor the granting authority can be held responsible for them.

Creative Commons Attribution 4.0  
International (CC BY 4.0)



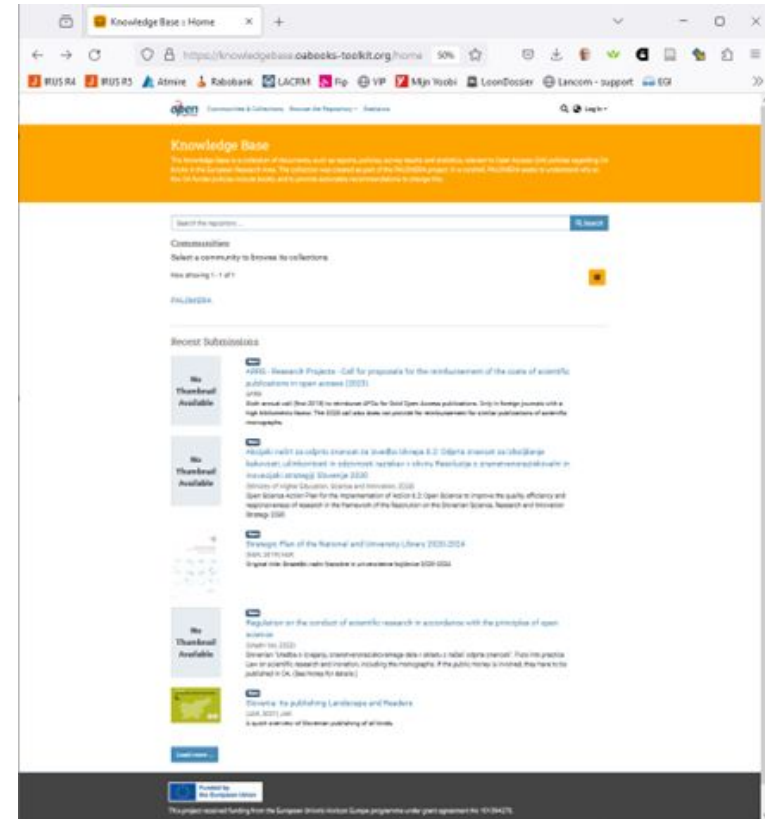
## Address of the Knowledge Base:

<https://knowledgebase.oabooks-toolkit.org/>

## Layout and interface:

- Based on OA Books Toolkit
- Lyrasis environment, DSpace 7

At the moment: 635 items



Creative Commons Attribution 4.0 International (CC BY 4.0)



Funded by the European Union

Funded by the European Union. Views and opinions expressed are however those of the author(s) only and do not necessarily reflect those of the European Union or the European Research Executive Agency. Neither the European Union nor the granting authority can be held responsible for them.

[Home](#) [PALOMERA](#)

## PALOMERA

Permanent URI for this community <https://hdl.handle.net/20.500.14219/5>

### Browse

[Subcommunities and Collections](#)[By Issue Date](#)[By Author](#)[By Title](#)[By Subject](#)

### Collections in this Community

Now showing 1 - 3 of 3

[Documents](#)[Interviews](#)[Policy documents](#)Metadata mapping: Zotero -> DSpace [https://docs.google.com/spreadsheets/d/1OfpvgVgLkm13Lp\\_quCwh5uKD3ltDgEbEiWIZwT4QsQM](https://docs.google.com/spreadsheets/d/1OfpvgVgLkm13Lp_quCwh5uKD3ltDgEbEiWIZwT4QsQM) dc.language.iso ISO 639-1 <https://datahub.io/core/language-codes> dc.subject.country ISO 3166-1 [https://www.wikiwand.com/pl/ISO\\_3166-1\\_alfa-2](https://www.wikiwand.com/pl/ISO_3166-1_alfa-2)

This project received funding from the European Union's Horizon Europe programme.

Funded by the  
European Union

Funded by the European Union. Views and opinions expressed are however those of the author(s) only and do not necessarily reflect those of the European Union or the European Research Executive Agency. Neither the European Union nor the granting authority can be held responsible for them.

Creative Commons Attribution 4.0  
International (CC BY 4.0)

## PALOMERA

Permanent URI for this community <https://hdl.handle.net/20.500.14219/5>

### Browse

- Subcommunities and Collections
- By Issue Date
- By Author
- By Title
- By Subject**

Filter results by typing the first few letters

[← All browse results](#)

Now showing 1 - 1 of 1



**PL** 67



This project received funding from the European Union's Horizon Europe programme.



[← Back to Results](#)

## Instytucjonalna Polityka Otwartości Instytutu Nauk Prawnych PAN

### Files

[2019 - Instytucjonalna Polityka Otwartości Instytutu Nauk.pdf \(111.63 KB\)](#)  
[excerpts.html \(7.98 KB\)](#)

### Date

2019

### Publisher

Institute of Legal Studies of the Polish Academy of Sciences

### Abstract

Aiming to take full advantage of the opportunities offered to the scientific community by the development of digital technologies, desiring to ensure access to knowledge for all without undue restrictions, taking into account the benefits of extensive cooperation and knowledge exchange, striving to avoid repeating the work already done, guided by the principles of transparency, the Institutional Policy of Open Access to Scientific Publications and Data is introduced.

### URI

<https://inppan.bip.gov.pl/polityka-oa/polityka-oa.html>  
<https://hdl.handle.net/20.500.14219/4374>

### Collections

[Documents](#)

[Full item page](#)



This project received funding from the European Union's Horizon Europe programme.



Funded by the European Union

Funded by the European Union. Views and opinions expressed are however those of the author(s) only and do not necessarily reflect those of the European Union or the European Research Executive Agency. Neither the European Union nor the granting authority can be held responsible for them.

Creative Commons Attribution 4.0 International (CC BY 4.0)



#### Excerpt #1

“W niniejszej Polityce przyjmuje się następujące definicje pojęć: a) publikacje – publikacje w czasopismach naukowych i innych, publikacje w recenzowanych materiałach z konferencji; **monografie naukowe oraz rozdziały w monografiach naukowych;**” (“Instytucjonalna Polityka Otwartości Instytutu Nauk Prawnych PAN”, 2019, p. 1)

Translated by DeePL

The following definitions of terms are adopted in this Policy: a) publications - publications in scientific and other journals, publications in peer-reviewed conference proceedings; **scientific monographs and chapters in scientific monographs;**

;

#### Excerpt #2

“1. Autorzy będą dążyć do zapewnienia otwartego dostępu do publikacji poprzez: a) zdeponowanie elektronicznej postaci ostatecznej wersji pełnego tekstu publikacji w repozytorium instytucjonalnym niezwłocznie po opublikowaniu oraz w innych repozytoriach cyfrowych, w tym module repozytoryjnym Polskiej Bibliografii Naukowej, repozytorium Centrum Otwartej Nauki, nie naruszając praw współautorów i wydawcy do publikacji lub – gdy to jest niemożliwe – poprzez zdeponowanie wersji autorskiej lub innej wersji dopuszczonej w umowie wydawniczej w repozytorium instytucjonalnym; b) publiczne udostępnienie publikacji w repozytorium instytucjonalnym oraz innych repozytoriach tak, aby każdy mógł mieć do niej dostęp w miejscu i w czasie przez siebie wybranym oraz możliwość nieodpłatnego i nieograniczonego technicznie korzystania z niej w preferowanym terminie nie dłuższym niż 12 miesięcy od daty wydania. 2. Autorzy samodzielnie decydują o udzieleniu wolnej licencji Creative Commons Uznanie autorstwa wersja 3.0 lub późniejszej (CC-BY) lub Creative Commons Uznanie autorstwa – Na tych samych warunkach wersja 3.0 lub późniejszej (CC-BY-SA).” (“Instytucjonalna Polityka Otwartości Instytutu Nauk Prawnych PAN”, 2019, p. 2)

Translated by DeePL

1. Authors will strive to provide open access to publications by: (a) depositing the electronic form of the final full text of the publication in the institutional repository immediately after publication and in other digital repositories, including the repository module of the Polish Bibliography of Science, the repository of the Centre for Open Science, without infringing the co-authors' and publisher's rights to the publication or, when that is not possible, by depositing the author's version or any other version permitted by the publishing contract in the institutional repository; (b) making the publication available to the public in an institutional repository and in other repositories so that everyone may access it from a place and at a time individually chosen by them and may use it free of charge and without technical restrictions within a preferred period of no more than 12 months from the date of publication. (2) The authors themselves decide to grant a free licence under Creative Commons Attribution version 3.0 or later (CC-BY) or Creative Commons Attribution - Under the same conditions version 3.0 or later (CC-BY-SA).



[← Back to Results](#)

## PALOMERA interview transcript Netherlands 2023

### Files

Morka, Agata - 2023 - PALOMERA interview transcript Netherlands 2023.pdf (182.65 KB)

### Date

2023-08-22

### Authors

Morka, Agata

### Abstract

One of the main objectives of the 2-years project PALOMERA (Policy Alignment of Open access Monographs in the European Research Area, 2023–2025) funded by Horizon Europe is to investigate the landscape of Open Access (OA) policies regarding academic books and provide access to relevant documentation via the Knowledge Base.

Interviews with key stakeholders from ERA countries were part of the data collection. During this phase, researchers conducted 39 individual interviews and 3 group ones.

Every interview was conducted online (via Zoom), and lasted around 60 minutes. Interview was recorded on the consortium Cloud, The interview were transcribed with HappyScribe, translated into English via DeepL (if needed), proofread and anonymised.

### URI

<https://hdl.handle.net/20.500.14219/4657>

### Collections

[Interviews](#)

[Full item page](#)



Funded by  
the European Union

This project received funding from the European Union's Horizon Europe programme.



Funded by the  
European Union

Funded by the European Union. Views and opinions expressed are however those of the author(s) only and do not necessarily reflect those of the European Union or the European Research Executive Agency. Neither the European Union nor the granting authority can be held responsible for them.

Creative Commons Attribution 4.0  
International (CC BY 4.0)



## NEXT STEPS

- **Analysis and recommendations (by the end of 2024)**
- **Implementation of the Validation exercises and Founder Forums findings**
- **Sustainability of the Knowledge Base**
- **Policy creation tool = policy creator**



Funded by the  
European Union

Funded by the European Union. Views and opinions expressed are however those of the author(s) only and do not necessarily reflect those of the European Union or the European Research Executive Agency. Neither the European Union nor the granting authority can be held responsible for them.

Creative Commons Attribution 4.0  
International (CC BY 4.0)



# Thank you for your attention!

## For more information:

1. Report on Combining KB (DOI: 10.5281/zenodo.10777132)
2. Validation Report (DOI: 10.5281/zenodo.10777635)

[maciej.marvl@ibl.waw.pl](mailto:maciej.marvl@ibl.waw.pl)

[gabriela.manista@ibl.waw.pl](mailto:gabriela.manista@ibl.waw.pl)



Funded by the  
European Union

Funded by the European Union. Views and opinions expressed are however those of the author(s) only and do not necessarily reflect those of the European Union or the European Research Executive Agency. Neither the European Union nor the granting authority can be held responsible for them.

Creative Commons Attribution 4.0  
International (CC BY 4.0)

